

SNC-0204

S.N. 10/099,945

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Patent Application of

TAMAI et al.

Group Art Unit: 2854

Application No. 10/099,945

Examiner: To be assigned

Filed: March 19, 2002

For: MARK TRANSFER TOOL AND MARK TRANSFER TAPE

**COMMUNICATION TO EXAMINER**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
ATTN: Office of Initial Patent Examiner  
Facsimile No.: 703-746-9195/4060

Date: June 18, 2002

Sir:

Applicants filed a new application together with an executed declaration in the United States Patent and Trademark Office on March 19, 2002. However, Applicants have received a Notice to File Missing Parts of Application dated June 4, 2002 from that Office stating that the oath or declaration is missing. Also, it is noted that the information relating to the Applicants has not been entered correctly in the Filing Receipt such as Name and Residence which has been provided in the originally filed and executed declaration.

SNC-0204

S.N. 10/10/099,945

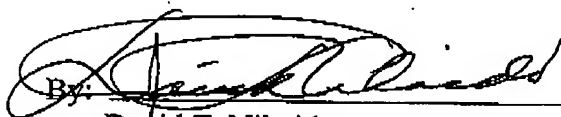
A copy of the return postcard is enclosed showing that the declaration was received by the USPTO. A copy of the declaration as filed is also enclosed. Further, a copy of the Transmittal Letter and Filing Receipt (with incorrect information) is enclosed.

Accordingly, Applicants hereby request that the Notice to File Missing Parts of Application be withdrawn and a corrected Official Filing Receipt be issued at Examiner's earliest convenience.

If any questions remain, the Examiner is respectfully requested to telephone the undersigned Attorney at 202-703-3750.

Respectfully submitted,

Date: June 18, 2002

By: 

David T. Nikaido  
Reg. No. 22,663

**RADER, FISHMAN & GRAUER PLLC**

1233 20<sup>th</sup> Street, N.W. Suite 501

Washington, D.C. 20036

Tel: (202) 955-3750

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Customer No. 23353

Enclosures:

- (1) A copy of postcard receipt certified by the mailroom of the USPTO
- (2) A copy of patent new application transmittal
- (3) A copy of executed declaration
- (4) A copy of official filing receipt
- (5) A copy of notice to file missing parts of application

Please type a plus sign (+) inside this box

+

Approved for use on 08/30/00. OMB 0551-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SNC-0204; 85344-0204

First Inventor TAMAI et al.

Title MARK TRANSFER TOOL AND MARK  
TRANSFER TAPE**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS**

TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (67 pages)  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **26**
5. ☒ Oath or Declaration Total Pages **2**
- a. ☒ Newly Executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(For continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies)
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers
10. ☐ 37 CFR 3.73(b) Statement (when there is no assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if Available)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

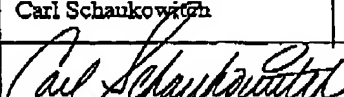
of prior application No:

**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

23353

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	David T. Nikaido RADER, FISHMAN & GRAUER, PLLC				
ADDRESS	1233 20 <sup>th</sup> Street, NW, Suite 501,				
CITY	Washington	STATE	DC	ZIP CODE	20036
COUNTRY	United States of America	PHONE	(202) 955-3750	FAX	(202) 955-3751
Name (Print/typ)	Carl Schankowitz		Registration No.		29,211
Signature			Date		March 19, 2002

Page 1 of 2



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/099,945	03/19/2002	2854	1554	SNC-0204; 85344-0204	26	43	2

CONFIRMATION NO. 7285

23353  
RADER FISHMAN & GRAUER PLLC  
LION BUILDING  
1233 20TH STREET N.W., SUITE 501  
WASHINGTON, DC 20036

## FILING RECEIPT



\*0000000008228892\*

Date Mailed: 06/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tamai, Residence Not Provided;

→ see the declaration

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/30/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Mark transfer tool and mark transfer tape

Preliminary Class

101

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 184, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/099,945	03/19/2002	Tamai	SNC-0204; 85344-0204

23353  
RADER FISHMAN & GRAUER PLLC  
LION BUILDING  
1233 20TH STREET N.W., SUITE 501  
WASHINGTON, DC 20036

CONFIRMATION NO. 7285

## FORMALITIES LETTER



\*000000008228893\*

Date Mailed: 06/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

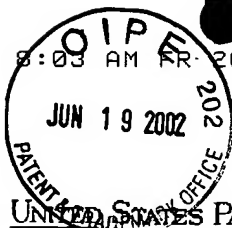
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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

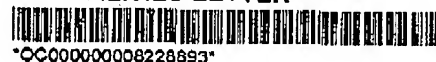
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10/099,945	03/19/2002	Tamai	SNC-0204; 85344-0204

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